

Docket No. 434-263

Patent



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

DAVID A. ATWOOD

Serial No.: 10/774,619

Filed: February 9, 2004

For: CATALYTIC CLEAVAGE OF PHOSPHATE
ESTER BONDS BY BORON CHELATES

:
:
: Group Art Unit: 1626
:
: Examiner: Solola, Taofiq A.
:
:
:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
AFTER MAILING DATE OF FIRST ACTION
(37 C.F.R. § 1.97(c))

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

1. The Information Disclosure Statement transmitted herewith is being filed after
a first Action under § 1.113 but before a final action or notice of allowance.

STATEMENT, PETITION AND FEE

2. In accordance with the requirements of 37 C.F.R. § 1.97(c):

A. Applicant hereby petitions for the consideration of the accompanying
Information Disclosure Statement. 37 C.F.R. § 1.97(c).

B. The Commissioner is hereby authorized to charge the fee to Deposit Account No. 11-0978 for the petition fee set forth in § 1.17(p) of \$180.00.

METHOD OF PAYMENT OF FEE

3. If any additional petition fees are due, please charge Account 11-0978.

Respectfully submitted,

KING & SCHICKLI, PLLC

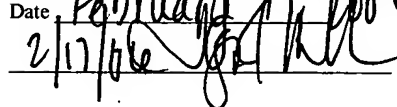


Patrick M. Torre
Registration No. 55,684

247 North Broadway
Lexington, Kentucky 40507
(859) 252-0889

CERTIFICATE OF MAILING

I hereby certify that this correspondence
is being deposited with the United States Postal
Service as first class mail in an envelope addressed
to: Mail Stop Amendment, Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450
Date: February 17, 2006





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of

DAVID A. ATWOOD

Serial No.: 10/774,619

Filed: February 9, 2004

For: CATALYTIC CLEAVAGE OF PHOSPHATE
ESTER BONDS BY BORON CHELATES

:
:
: Group Art Unit: 1626
:
: Examiner: Solola, Taofiq A.
:
:
:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant discloses to the Examiner under 37 CFR 1.56, and 37 CFR 1.97-1.98, as revised (1135 OG 13) and effective March 16, 1992, the information listed on the attached form PTO-1449. This information may be found to be material to this invention under the current applicable patent law and as interpreted by the USPTO Rules, as cited above. Review and consideration of the listed references/information during substantive examination of this application is respectfully requested.

Applicant specifically emphasizes that this statement, and/or the act of providing copies of these references, is not to be construed as an admission that all or any of the

references are prior art to the specific invention disclosed and claimed.

Also, nothing in this statement is to be construed as a representation that this is the only material information to be found, or the best. It, however, is the only information known to the applicant at this time that is believed to meet the "materiality standard" of the law. If additional qualifying references or other information is discovered in the future, it will be submitted promptly to fulfill applicant's continuing duty of disclosure under 37 CFR 1.56.

Respectfully submitted,

KING & SCHICKLI, PLLC



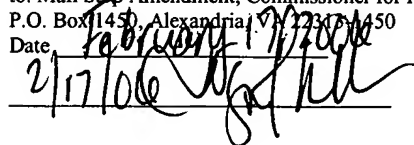
Patrick M. Torre
Registration No. 55,684

247 North Broadway
Lexington, Kentucky 40507
(859) 252-0889

CERTIFICATE OF MAILING

I hereby certify that this correspondence
is being deposited with the United States Postal
Service as first class mail in an envelope addressed
to: Mail Stop Amendment, Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22304-1450

Date

February 17, 2006

2/17/06



PTO/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/774,619
Filing Date	February 9, 2004
First Named Inventor	David A. Atwood
Art Unit	1626
Examiner Name	Taofiq A. Solola
Attorney Docket Number	434-263

Sheet	1	of	1
-------	---	----	---

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	MIGUEL-ANGEL MUNOZ-HERNANDEZ et al., "Reactivity and Derivatization of Five-Coordinate, Chelated Aluminum," Inorg. Chem, 2001, 40, 6782-6787	
	2	Y. WANG et al., "Ligand-Tetrahydrofuran Coupling in Chelated Aluminum Phosphinates," Inorg. Chem. 2002, 41, 558-565	
	3	YUZHONG WANG et al., "Five-Coordinate Organoaluminum Acetylides and Crystal Structure of the Hydrosylate, [Salophen (tBu)Al]2O," Journal of Organometallic Chemistry 689 (2004)	
		759-765	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.